

UNDP GEF APR/PIR 2007 – INTERNATIONAL WATERS

(1 July 2006 to 30 June 2007)

I. Basic Project Data

Official Title:	PACIFIC ISLANDS OCEANIC FISHERIES MANAGEMENT PROJECT
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Country/ies:	Cook Islands, Federated States of Micronesia, Fiji, Kiribati, Marshall Islands, Nauru, Niue, Palau, Papua New Guinea, Samoa, Solomon Islands, Tonga, Tokelau, Tuvalu and Vanuatu	PIMS Number	2992
		Atlas Project Number	00039704/00044655

Focal Area	International Waters	Project Type (FSP/MSP)	Full-sized project
Strategic Priority	IW1 – Catalyse financial resource mobilization for implementation of reforms and stress reduction measures agreed through TDA-SAP or equivalent processes for particular transboundary systems; IW2 – Expand global coverage of foundational capacity building addressing the two key programme gaps and support for target learning, specifically the fisheries programme gap.	Operational Programme	OP 9, Integrated Land and Water Multiple Focal Area, SIDS Component

Date of Entry into Work Programme	GEF Council endorsement – March 2005 GEF CEO endorsement – 24 May 2005	Planned Project Duration	Five (5) years
ProDoc Signature Date	See Attachment A	Original Planned Closing Date	2010
Date of First Disbursement	28 October 2005 (USD628,676)	Revised Planned Closing Date	None currently proposed
Is this the Terminal APR/PIR?	No	Date Project Operationally Closed (if applicable)	2010

Date Mid Term Evaluation carried out (if applicable)	Not applicable	Date Final Evaluation carried out (if applicable)	Not applicable
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Dates of visits to project by UNDP country office	8 February 2007 (UNDP Suva Deputy RR, Toily Kurbanov)	Date of last TPR Meeting	22 October 2006
Date of last visit to project by UNDP-GEF RTA	22 October 2006 (RSC/TPR)		

Project Contacts:

Title	Name	E-mail	Date	Signature
National Project Manager / Coordinator	N.Barbara HANCHARD	barbara.hanchard@ffa.int		
Government GEF OFF ¹ (<i>optional</i>)				
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Key: Ratings used through out this report:

HS - Highly Satisfactory

S – Satisfactory

MS – Marginally Satisfactory

MU - Marginally Unsatisfactory

U – Unsatisfactory

HU – Highly Unsatisfactory.

¹ In the case of a project involving more than 1 country, it is suggested that for simplicity only the OFF (optional) and Country Office Programme Manager from the lead country sign-off. If representatives from more than 1 country sign off, please add additional rows as necessary, clearly indicating the country name for each signature.

Project Summary (as in PIMS and ProDoc)

ProDoc Summary

Small Island Developing States (SIDS) have special conditions and needs that were identified for international attention in the **Barbados Programme of Action for the Sustainable Development of Small Island Developing States** and in the **World Summit for Sustainable Development's Johannesburg Plan of Implementation**. Throughout these instruments, the importance of coastal and marine resources and the coastal and marine environment to sustainable development of SIDS is emphasised, with the Plan of Implementation specifically calling for support for the Western and Central Pacific Fisheries Convention (the WCPF Convention).

The Global Environmental Facility (GEF) identifies sustainable management of regional fish stocks as one of the major environmental issues SIDS have in common and as a target for activities under the SIDS component of OP 9, the Integrated Land and Water Multiple Focal Area Operational Programme.

In addition, the GEF promotes the adoption of an ecosystem-based approach to addressing environmental problems in Large Marine Ecosystems is through activities under the Large Marine Ecosystem Component of OP 8, the Waterbody-Based Operational Program.

Consistent with this framework, GEF financing for the International Waters (IW) South Pacific Strategic Action Programme (SAP) Project from 2000 supported the implementation of an IW Pacific Islands SAP, including a pilot phase of support for the Oceanic Fisheries Management (OFM) Component, which underpinned successful efforts to conclude and bring into force the WCPF Convention. Now, GEF assistance is sought for a new Pacific Islands OFM Project to support Pacific SIDS efforts as they participate in the setting up and initial period of operation of the new Commission that is at the centre of the WCPF Convention, and as they reform, realign, restructure and strengthen their national fisheries laws, policies, institutions and programmes to take up the new opportunities which the WCPF Convention creates and discharge the new responsibilities which the Convention requires.

The goals of the Project combine the interests of the global community in the conservation of a marine ecosystem covering a huge area of the surface of the globe, with the interests of some of the world's smallest nations in the responsible and sustainable management of resources that are crucial for their sustainable development.

The **global environmental goal** of the Project is to achieve global environmental benefits by enhanced conservation and management of transboundary oceanic fishery resources in the Pacific Islands region and the protection of the biodiversity of the Western Tropical Pacific Warm Pool Large Marine Ecosystem.

The **broad development goal** of the Project is to assist the Pacific Island States to improve the contribution to their sustainable development from improved management of transboundary oceanic fishery resources and from the conservation of oceanic marine biodiversity generally.

The IW Pacific Islands SAP identified the ultimate root cause underlying the concerns about, and threats to, International Waters in the region as deficiencies in management and grouped the deficiencies into two linked subsets – lack of understanding and weaknesses in governance. In response, the Project will have two major technical components.

Component 1, the Scientific Assessment and Monitoring Enhancement Component, is aimed at providing improved scientific information and knowledge on the oceanic transboundary fish stocks and related ecosystem aspects of the Western Tropical Pacific Warm Pool Large Marine Ecosystem (WTP LME) and at strengthening the national capacities of Pacific SIDS in these areas. This work will include a particular focus on the ecology of seamounts in relation to pelagic fisheries and the fishing impacts upon them.

Component 2, the Law, Policy and Institutional Reform, Realignment and Strengthening Component, is aimed at assisting Pacific Island States as they participate in the earliest stages of the work of the new WCPF Commission and at the same time reform, realign and strengthen their national laws, policies, institutions and programmes relating to management of transboundary oceanic fisheries and protection of marine biodiversity.

Component 3, the Coordination, Participation and Information Services Component, is aimed at effective project management, complemented by mechanisms to increase participation and raise awareness of the conservation and management of oceanic resources and the oceanic environment.

The design of the Project has involved a substantial consultative process, which has been warmly supported throughout the region. Reflecting outcomes of this process, the Project seeks to apply a regional approach in a way that recognises national needs; to strike a balance between technical and capacity-building outputs by twinning technical and capacity building activities in every area; and to open participation in all project activities to governmental and non-governmental stakeholders.

The structure for implementation and execution of the Project builds on a record of successful collaboration between the United Nations Development Programme (UNDP), regional organisations and Pacific SIDS in past activities in oceanic environmental management and conservation, strengthened by planned new partnerships with The World Conservation Union (IUCN), a regional environmental non-governmental organisation (ENGO) and a regional industry non-governmental organisation (INGO).

II. Progress towards achieving project objectives

Project Strategy (Objectives & Outcomes)	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
<p>Information & Knowledge Objective To improve understanding of the transboundary oceanic fish resources & related features of the Western & Central Pacific Warm Pool Large Marine Ecosystem.</p>	<p>Improved information on the biology & ecology of target fish stocks, including their exploitation characteristics & fishery impacts, the fishery impacts on non-target, dependent & associated species & on the pelagic ecosystem as a whole.</p> <p>Substantially improved understanding of Seamount ecosystems, especially their relation to migratory pelagic fisheries.</p>	<p>SCTB17 (2004) summarized major information/knowledge gaps and needs as follows: (a) better estimates of catch, effort and catch composition, particularly in Indonesia, Philippines & Vietnam, and in the purse seine fishery (b) better indices of abundance from CPUE data (c) information on the environmental impacts on recruitment, including regime shifts (d) fishery-independent (tagging) data on exploitation rates and population dynamics (e) ecosystem impacts of fishing (f) better estimates of size/species composition and by-catch estimates from enhanced observer data Little knowledge of confirmed seamount occurrence in the region or their significance to the pelagic ecosystem</p>	<p>(a) assessments utilize improved fishery information available from all sources and new tagging data (b) assessments incorporate information on environmental impacts on stock productivity (c) comprehensive and reliable by-catch estimates available (d) ecosystem impacts of fishing are characterized</p> <p>(a) seamount occurrence documented using available data sources (b) Impacts of seamounts on physical/biological oceanography and pelagic fisheries better understood</p>	<p>The project has resulted in: (a) improved flow and quality of fisheries data from beneficiary countries, which are progressively incorporated into stock assessments (b) new tagging data generated from 6 months of field operations in PNG (Phase 1 of a proposed regional programme) (c) new analyses of environmental impacts on yellowfin and bigeye recruitment completed (d) comprehensive estimates of by-catch levels and uncertainty now routinely reported to WCPFC SC</p> <p>The occurrence of seamounts has been documented using available data, but further work is required</p>
<p>Governance Objective To create new regional institutional arrangements, & reform, realign & strengthen national arrangements for conservation & management of</p>	<p>The WCPFC established & functioning.</p>	<p>WCPFC had preliminary meeting in December 2004 to adopt some Rules & Regs, establish the SC & TCC & elect officers of commission & subsidiary bodies.</p>	<p>WCPFC structure & programmes functioning by 2010 as described under Outcome 2 a) below</p>	<p>See progress reported under Outcome 2 a) below</p>

Project Strategy (Objectives & Outcomes)	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
transboundary oceanic fishery resources	<p>WCPFC beginning to adopt conservation & management measures for target stocks & the WTP LME²</p> <p>PacSIDS amend their domestic laws & policies & strengthen their national fisheries institutions & programmes, especially in the areas of monitoring & compliance, to implement the WCPF Convention & apply the principles of responsible & sustainable fisheries management more generally.</p>	<p>No binding regional regional stock conservation & management measures in place</p> <p>See Outcome 2 c) below</p>	<p>WCPFC has begun to adopt & apply measures to</p> <p>a) limit all major sources of fishing mortality on heavily fished target species, including bigeye & yellowfin tunas & swordfish</p> <p>b) mitigate mortality from fishing on non-target species, including sharks, seabirds & turtles</p>	<p>WCPFC has applied:</p> <p>a) a mix of catch & effort limits to fisheries for bigeye & yellowfin, north & south Pacific albacore & swordfish</p> <p>b) measures to mitigate mortality from fishing on sharks (including a finning ban) & seabirds</p>

² Not in the original logframe, inserted as Stress Reduction Indicator to meet GEF IW reporting requirements

Project Strategy (Objectives & Outcomes)	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
<p>OUTCOME 1: a) Improved quality, compatibility & availability of scientific information & knowledge on the oceanic transboundary fish stocks & related ecosystem aspects of the WTP warm pool LME, with a particular focus on the ecology of seamounts in relation to pelagic fisheries, & the fishing impacts upon them.</p>	<p>Substantial, relevant & reliable information collected & shared between stakeholders with respect to transboundary oceanic fish stocks & related ecosystem aspects, (particularly for seamounts).</p>	<p>Scientific information & knowledge is shared among countries voluntarily, primarily through SPC/OFP & the SCTB. Regional scientific work carried out by SPC with donor funding No WCPFC science staff, experts or programmes, but plan agreed for interim scientific structure and other arrangements.</p> <p>Little knowledge of confirmed seamount occurrence in the region or their significance to the pelagic ecosystem</p>	<p>Establishment of SC & subsidiary bodies including bodies for statistics & Ecosystem/Bycatch work (by Dec 2007)</p> <p>Binding agreement on protocols for fisheries data collection & provision, including catch & effort logs, & port & onboard sampling (by Dec 2007)</p> <p>Establishment of Commission data management structure and, databases (by Dec 2007)</p> <p>Appointment of science staff and/or contracting of experts for the provision of scientific services (by Dec 2007)</p> <p>Agreement on scientific work programme, including forms of stock assessment analysis (by Dec 2007)</p> <p>(a) seamount occurrence documented using available data b) Impacts of seamounts on physical/biological oceanography and pelagic fisheries better understood</p>	<p>Achieved 2005</p> <p>Catch & Effort Protocol in place.</p> <p>Port & onboard sampling protocols still under consideration</p> <p>Interim arrangements in place</p> <p>Staff appointed, interim arrangements agreed for scientific experts, subject to review in 2007</p> <p>Achieved</p> <p>The occurrence of seamounts has been documented using available data, but further work is required</p>
<p>b) This information being used by the WCPFC & PacSIDS to assess measures for the conservation & management of transboundary oceanic fishery resources & protection of the WTP LME.</p>	<p>The WCPFC using this information as the basis for discussions & policy decisions on WCPF management.</p>	<p>Annual meetings of the SCTB provide a forum to discuss scientific issues related to data, research & stock assessment including providing statements on stock status & opinions on scientific issues.</p>	<p>Measures of target stock status in relation to agreed management reference points available</p> <p>Measures of status of ecosystem including trophic status & status of key non-target species</p>	<p>Stock status measures available, but no agreed reference points</p> <p>Proposal under consideration</p>

Project Strategy (Objectives & Outcomes)	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
			<p>Provision of scientific advice to the Commission including information & recommendations on TACs & other management measures from the Scientific Committee to the Commission</p> <p>Measures of the impact of environmental variability on target species abundance & distribution</p> <p>Assessments available of the impact of fishing on target & non-target species</p> <p>Analysis made of impact of possible conservation measures</p>	<p>Achieved, ongoing</p> <p>Achieved, ongoing</p> <p>Achieved & ongoing for target species, less progress for non-target species</p> <p>Achieved & ongoing</p>
<p>c) National capacities in oceanic fishery monitoring & assessment strengthened, with PacSIDS meeting their national & WCPFC-related responsibilities in these areas.</p>	<p>Relevant national technical capacities & knowledge greatly improved</p>	<p>SPC assessment shows that no PacSIDS have the capacity to fully meet WCPFC-related responsibilities in fishery monitoring & data provision</p>	<p>Programme in SPC to train SIDS national data and science personnel</p> <p>Arrangements in place for financing of SIDS participation in Commission activities</p> <p>Arrangements in place for recognition of special requirements of SIDS in science and other technical areas</p> <p>High level of participation by PacSIDS in SC meetings (80%)</p> <p>Level of resources and pattern of Commission programmes, and of other agencies for building capacity of SIDS to participate in Commission scientific activities</p>	<p>Achieved & ongoing through attachments and workshops</p> <p>Financial Regs provide funding for PacSIDS to participate in all WCPFC-related meetings</p> <p>Items for Special reqts in standing agendas of SC since 2005</p> <p>Achieved (2006- 13 of 15)</p> <p>Japan has committed US\$2m for WCPFC special reqts, partially for science-related capacity-building</p>

Project Strategy (Objectives & Outcomes)	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
<p>OUTCOME 2: a) The WCPFC established & beginning to function effectively.</p>	<p>WCPFC operating with a formally adopted framework of rules & regulations.</p> <p>WCPFC Secretariat has been established & the core science & compliance programmes & Committee structures are operational.</p> <p>Adoption & Implementation of Compliance Measures³</p>	<p>Rules of Procedure & Financial Regs adopted at WCPFC1 following inputs from SAPI Project. Staff regs, subsidiary bodies rules needed.</p> <p>No appointments to the secretariat, no WCPFC staff regs, no WCPFC compliance, data or science programmes operational.</p> <p>Authorisation/Notification/Vessel Marking adopted in Dec 2004</p>	<p>WCPFC & subsidiary bodies operating with a complete set of Rules & Regulations & a Secretariat, with sustainable financial arrangements (by Dec 2007)</p> <p>Staff Regs adopted & Secretariat posts all filled. (by Dec 2007)</p> <p>TCC operational (by Dec 2007)</p> <p>Complete package of compliance programmes implemented, including:</p> <p>Authorisation</p> <p>Notification</p> <p>Vessel marking</p> <p>Observers</p> <p>VMS</p> <p>High Seas Boarding & Inspection</p> <p>Transshipment regulation</p> <p>Port State Controls</p> <p>IUU List</p> <p>Dealing With Infringements</p> <p>Application of Sanctions</p>	<p>Draft Rules for subsidiary bodies being considered by SC & TCC</p> <p>Staff Regs adopted. Secretariat posts being filled with some difficulty.</p> <p>Achieved 2005</p> <p>Implemented: Authorisation, Notification, Vessel Marking</p> <p>Adopted: High Seas Boarding & Inspection, VMS, IUU List</p>
<p>b) Pacific Island nations playing a full role in the functioning & management of the WCPFC, & in the related management of the fisheries & the globally-important LME.</p>	<p>PacSIDS are participating effectively in provision of information & in decision-making & policy adoption process for WCPF fisheries management.</p>	<p>13 of 15 PacSIDS ratified or been authorised to participate as territories</p> <p>??</p> <p>At WCPFC1 in December 2004, PACSIDS participated effectively on WCPFC</p>	<p>All PacSIDS are Commission Members (by Dec2007)</p> <p>All PacSIDS are Parties to the UN Fish Stocks Agreement</p> <p>PACSIDS collective participation is effective on issues of importance to them.</p>	<p>Achieved Nov 2005</p> <p>Tuvalu, Palau, Vanuatu (non-Parties to 1995 UN FSA - current official list maintained by UN DOALOS dated 4 June 2007). Palau recently ratified but yet to inform when deposited with UN</p>

³ Not in the original logframe, inserted as Stress Reduction Indicator to meet GEF IW reporting requirements

Project Strategy (Objectives & Outcomes)	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
		administrative issues, but did not participate effectively on compliance, science & technical issues.	Most PACSIDS are able to participate effectively individually on issues of importance to them	No independent assessment made, but in 2007, the WCPFC adopted 5 stock measures, all based on FFA Members proposals, and 4 compliance measures all supported by FFA Members No independent assessment made
c) National laws, policies, institutions & programmes relating to management of transboundary oceanic fisheries reformed, realigned & strengthened to implement the WCPF Convention & other applicable global & regional instruments.	National institutions & supportive laws & policies have been reformed effectively to support national roles in WCPFC & to meet national commitments both to WCPF Convention, & to other relevant MEAs, & global treaties & conventions.	To be assessed by a baseline study	PacSIDS are implementing WCPFC measures & national conservation & management measures	Assessment yet to be completed
d) National capacities in oceanic fisheries law, fisheries management & compliance strengthened	Relevant national technical capacities & knowledge greatly improved	Project design work identified lack of capacities in fisheries law and compliance and especially fisheries management as important constraints to achieving Project objectives	Expanded programmes in FFA to train SIDS national law, fisheries management & personnel Arrangements in place for financing of SIDS participation in Commission activities Arrangements in place for recognition of special requirements of SIDS in fisheries & management and compliance However, as noted in the ProDoc (p.81) there are limits to progress that can be made in capacity building in 15 countries within the Project life	Achieved & ongoing through attachments and workshops Financial Regs provide funding for PacSIDS to participate in all WCPFC-related meetings Items for Special reqts in standing agendas of SC since 2005 Most PacSIDS are still struggling to develop the necessary capacities

Project Strategy (Objectives & Outcomes)	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
<p>OUTCOME 3: a) Effective project management at the national & regional level.</p> <p>b) Major governmental & non-governmental stakeholders participating in project activities & consultative mechanisms at national & regional levels.</p> <p>c) Information on the project & the WCPF process contributing to increased awareness of oceanic fishery resource & ecosystem management.</p> <p>d) Project evaluations reflecting successful & sustainable project objectives.</p>	Project achieving its objectives.	Not applicable	<p>PCU established by Dec 2005</p> <p>National and regional Project committees established by Dec 2006</p> <p>Procedures for NGO participation adopted by the WCPFC</p> <p>National consultative mechanisms in SIDS include NGO and broad governmental participation</p>	<p>Achieved 2005</p> <p>RSC established 2005 (National committees – see comment below)</p> <p>Achieved</p> <p>National consultative mechanisms typically in the form of Tuna Management Committees. These are not always inclusive.</p>
	Extent to which Project implementation & management is participatory with appropriate involvement of stakeholders at all levels.	Phase I terminal evaluation noted lack of NGO involvement as a major weakness in Phase I	Project Evaluations indicate that project implementation & management is fully participatory	No evaluations conducted yet
	<p>Transparency & simplicity of information access</p> <p>Relevance & significance of available information</p> <p>Public awareness raising at national & regional policy level is effective.</p>	Design process identifies lack of simple, clear information on the WCPF preparatory process as a problem	<p>Project Evaluations indicate that</p> <ul style="list-style-type: none"> - Information access is transparent & simple - Information available is relevant & significant. - Public awareness raising at national & regional policy level is effective. 	No evaluations conducted yet
	Project evaluation ratings.	Not applicable	Positive project evaluation ratings.	No evaluations conducted yet

Rating of Project Progress towards Meeting Objective

	2006 Rating	2007 Rating	Comments
National Project Manager/Coordinator	S	S	Considerable and steady progress has been achieved towards project objectives (2) as detailed in the report above against indicators. The progress towards of functioning of the WCPFC continues to evolve and challenge the resources and capabilities of the Pacific SIDS. The WCPF Commission is operating formally with a number of conservation and management measures adopted of which Pacific SIDS have been actively involved. Implications for the implementation of those measures both regionally and at national levels is assisted greatly by the project but continues to channel the resources and capacity of Pacific SIDS.
Government GEF OFP (optional)			
UNDP Country Office	S	S	The complexity of working at regional level to initiate change, interest and support for fisheries management is both challenging and time-consuming. It is noted that the project is employing approaches that exhibit inclusiveness and good information strategies at international, regional level and national levels, which will be the key towards meeting project objectives. Interventions in creating an enabling environment and strengthening existing capacity for fisheries management has been highlighted through various in-country training, policy reform and scientific analytical activities. Hence it is recommended that the project continues to increase scientific and technical collaboration, including integrated assessment at the SIDS regional/national levels for the conservation and management of living and non-living marine resources and expanding ocean-observing capabilities for the timely prediction and assessment of the state of marine environment. In line with WSSD plan of implementation and the WCPF, UNDP will support collaboration between the multi-stakeholders to develop capacity in marine science, information and management, through, inter alia, promoting establishment of regional/national monitoring systems, and the use ecosystem models to assess management options and training of policy makers.
UNDP Regional Technical Advisor	XX	XX	XXX

Action Plan to Address Marginally Unsatisfactory, Unsatisfactory or Highly Unsatisfactory Rating

Where a project has received a rating of MU, U or HU describe the actions to be taken to address this:

Action to be Taken	By Whom?	By When?

III. Progress in Project implementation

List the 4 key outputs delivered so far for each project Outcome:

Project Outcomes	Key Outputs
<p>Outcome 1: Scientific Assessment and Monitoring Enhancement:</p> <p>a) Improved quality, compatibility & availability of scientific information & knowledge on the oceanic transboundary fish stocks & related ecosystem aspects of the WTP warm pool LME, with a particular focus on the ecology of seamounts in relation to pelagic fisheries, & the fishing impacts upon them.</p> <p>b) This information being used by the WCPFC & PacSIDS to assess measures for the conservation & management of transboundary oceanic fishery resources & protection of the WTP LME.</p> <p>c) National capacities in oceanic fishery monitoring & assessment strengthened, with PacSIDS meeting their national & WCPFC-related responsibilities in these areas.</p>	
<p>Sub-component 1.1 Fishery Monitoring, Coordination and Enhancement</p> <p>Outcome: Integrated and economically sustainable national monitoring programmes in place including catch and effort, observer, port sampling and landing data; Pacific SIDS providing data to the Commission in the form required; national capacities to process and analyse data for national monitoring needs enhanced; improved information on fishing in national waters and by national fleets being used for national policy making and to inform national positions at the Commission. Enhanced quality and accessibility of fisheries information and data leading to more effective development and improvement of the Commission's policy and decision-making process.</p>	<p>A template for national integrated monitoring programmes including logsheet, observer, port sampling and landing data collection and management; and provision of data to the Commission Regional Tuna Database template available on SPC website www.spc.int/oceanfish/ . TUFMAN database review, development and updating roll out – including, port sampling and unloading totals modules, reconciliation reports, mapping module, inclusion of form for WCPFC Vessel Record data. Video and training manual initiated. Support for the preparation of data summaries and catch estimates for national reports to WCPFC SC. Report on the WCPFC Ad hoc Task Group posted.</p> <p>National monitoring systems based on the regional template for integrated monitoring, customised to meet national needs TUFMAN in-country development and training (RMI, FSM, Palau, Tonga). Review and support of national monitoring capacity (Niue, Tuvalu, RMI, PNG and Vanuatu). National Tuna Data Procedures document initiated in all countries. Identified funding support needs furnished (Palau, FSM, Nauru, Vanuatu). In-country support to National observer and port sampling programmes (Palau, FSM, RMI, PNG, Fiji).</p> <p>A regional monitoring coordination capacity, to develop regional standards such as data formats, and to provide a clearing house for information on fishery monitoring Industry and sampling data forms distributed. Longline logbook trial underway. Longline Observer Guide and Marine species identification manual completed and distributed. First Tuna Data Workshop concluded, report and material distributed on CD. WCPFC Regional Observer Programme document and strategic plan.</p> <p>Training of national monitoring staff, particularly monitoring coordinators, observers and port samplers Monitoring attachments (PNG, Tonga, Vanuatu, PNG, Solomons, Nauru). Observer and tag seeding training (PNG, Palau, RMI), port sampling training (RMI) debriefing training (PNG, sub-regional in RMI) debriefing support (Fiji, Tonga, Palau, FSM), senior observer training workshop (PNG).</p>
<p>Sub-component 1.2 Stock Assessment</p> <p>Outcome: Detailed information available on the status of national tuna fisheries, including the implications of regional stock assessments and the impacts of local</p>	<p>National oceanic fisheries status reports prepared collaboratively with national scientific staff National Tuna Fishery Status Reports (Cook Is, FSM, Vanuatu, Palau, Nauru, Tonga) In-country stakeholder workshops for NTFSRs (FSM, Vanuatu, Palau, Tonga, Nauru)</p> <p>Advice to Pacific SIDS on scientific issues in the work of the Commission Scientific presentations at regional SWG, MOW and contributions to Pacific SIDs brief for WCPFC SC, advice to WCPFC SC</p> <p>Training of national technical and scientific staff to understand regional stock assessment methods, and interpret and apply</p>

<p>fisheries and oceanographic variability on local stocks and fishing performance; strengthened national capacities to use and interpret regional stock assessments, fisheries data and oceanographic information at the national level, to participate in Commission scientific work, and to understand the implications of Commission stock assessments.</p>	<p>the results; and to use oceanographic data Regional Stock Assessment workshop Attachments (Samoa, FSM, Vanuatu, Nauru and Palau) Contribution to regional eNGO workshop on WCPFC In-country training on interpretation of fisheries and stock assessment (FSM)</p>
<p>Sub-component 1.3 Ecosystem Analysis</p> <p>Outcome: Enhanced understanding of the dynamics of the WTP warm pool pelagic ecosystem, with particular focus on trophic relationships; enhanced understanding of the ecology of seamounts, in particular their impacts on aggregation and movement of pelagic species and the fisheries impacts thereon; provision of ecosystem-based scientific advice to the Commission and to Pacific SIDS; enhanced information on the magnitude of by-catch in WCPO oceanic fisheries.</p>	<p>Observer sampling and analysis of commercial fishery catches to determine trophic relationships of pelagic species in the WTP LME Sampling strategy & work plan report for observer programmes (info paper to WCPFC SC2) Biological sampling and land based analysis (stomach content & tissue sampling) – Solomon Is, FSM, Samoa, Cook Islands, Fiji & RMI (newsletter)</p>
	<p>Collection and analysis of information on seamounts in the WTP LME Seamount activity planning workshop, validation of seamounts in the Pacific (report), database reviewed Examination of satellite oceanographic data in relation to seamounts (report) Co-funded Tagging campaign (PNG) Benthic biodiversity survey – under discussion with IRD (limited progress with IUCN survey)</p>
	<p>Model-based analysis of ecosystem-based management options Ecological Risk Assessment – indicators of species susceptibility and productivity to evaluate ecosystem-based management options Ecosystem modeling workshop (balance ecopath models to WCP and comparison with existing models)</p>
	<p>Estimate Levels of By catch in the Western and Central Pacific Ocean fisheries WCPFC SC working papers on status of stocks (historical observer data and estimates of levels of by-catch) Ecosystem Risk Assessment (WCPFC SC) – co-financing from Commission to progress work in 2007/2008 Ecosystem analysis for Pacific SIDs (at FFC) Updated analysis of estimates of levels of by-catch for Statistics Specialist Working Group</p>
	<p>Results of ecosystem analysis and proposals for long-term ecosystem monitoring and operationalisation of the ecosystem-based approach for use by the Commission’s Scientific Committee, especially its Ecosystems & Bycatch Working Group, and by Pacific SIDS Preliminary Ecological Risk Assessment – WCPFC SC Training incorporated in Stock Assessment Workshop, briefings on ecosystem analysis (Pacific SIDs pre SC)</p>

Outcome 2: Law, Policy and Institutional, Reform, Realignment and Strengthening:	
a) The WCPFC established & beginning to function effectively.	
b) Pacific Island nations playing a full role in the functioning & management of the WCPFC, & in the related management of the fisheries & the globally-important LME.	
c) National laws, policies, institutions & programmes relating to management of transboundary oceanic fisheries reformed, realigned & strengthened to implement the WCPF Convention & other applicable global & regional instruments.	
d) National capacities in oceanic fisheries law, fisheries management & compliance strengthened	
Sub-component 2.1 Legal Reform Outcome: Major Commission legal arrangements and mechanisms in place, including provisions relating to non-Parties and sanctions for non-compliance; national laws, regulations, license conditions reformed to implement the WCPF Convention and other relevant international legal instruments; enhanced national legal capacity to apply the Convention and national management regimes, including domestic legal processes for dealing with infringements.	A strategy and workplan for activities on regional and national legal issues Role out of the strategy and workplan to address regional and legal issues related to the Commission and other related international legal instruments on schedule; including the completion of draft guidelines for fisheries legislation to assist Pacific SIDS review their national legislations and regulations. A number of countries have completed legislative reviews. Enhancement of legal capacity in the Convention and domestic laws and prosecutions procedures – Judicial Seminar and Sub-regional WCPFC workshops
	New draft laws, regulations, agreements & license conditions in line with WCPF Convention prepared and shared with PacSIDS Legislative reviews identify the gaps in national legislations and regulations and draft legislation and regulations are amended accordingly for processing through individual national legislative repeal systems.
	Proposals for the Commission from Pacific SIDS for legal arrangements to implement the Convention Briefs are prepared for Pacific SIDS prior to Commission meetings and subsidiary meetings (WCPFC3, TTC2 & SC2)
	Training of policy makers and legal personnel in oceanic fisheries management legal issues Port State Enforcement workshop, WCPFC Sub-regional workshops (Cooks, PNG and Vanuatu), Prosecutions, Dockside Boarding and Inspection workshops (Tuvalu), legal fellowships and Law of the Sea & Maritime Law short course (Uni of Wollongong)
Sub-component 2.2 Policy Reform Outcome: Commission Secretariat and technical programmes established and conservation and management measures beginning to be adopted; national oceanic fisheries management plans, policies and strategies prepared, implemented and reviewed; adoption of a more integrated and cross-sectoral approach and, improved coordination between government departments (Fisheries, Environment, Development, Economy, etc); enhanced understanding by policy makers and enhanced national capacities in regional and national policy analysis for sustainable and responsible fisheries; enhanced stakeholder understanding of Commission and national	National oceanic fisheries management plans, policies and strategies Roll out of EAFM (Vanuatu, Tonga, Palau and Nauru) and regional strategy to determine framework and processes to deliver tuna and oceanic fisheries management plans based on EAFM.
	Strategies and specific proposals for the overall development of the Commission, including its Secretariat and technical programmes, and for Commission conservation and management measures Studies on by-catch mitigation options for seabirds, issues associated with shark finning and harvest, turtles, charter vessel control and purse seine closures, management options for albacore and swordfish, implementation of a catch documentation scheme, catch retention, FAD Management and capacity management options. Information incorporated into Pacific SIDS FM policies and strategies for proposals to the Commission (WCPFC3). Briefs for Pacific SIDS and used at Commission meeting on full range of scientific (stock specific and ecosystem wide) technical and compliance and institutional (establishment of the Commission) issues at Commission and subsidiary meetings & ad-hoc Data Task group. Proposals to Commission on catch and effort limits for target species, by-catch mitigation measures, VMS & Observer programme. 10 draft measures proposed in 2006 to the Commission by Pacific SIDS. Informal consultation on albacore management, Pre-Commission FFC caucus, MOW workshops Advice on purse seine effort limitation (VDS), longline management, purse seine fisheries closures and overcapacity.
	Identification of possible management options for seamounts, including compliance options Limited progress on activities related to this output
	Training of policy makers, technical personnel and other Pacific SIDS stakeholders to increase understanding of sustainable

policy issues, especially the private sector.	<p>and responsible fisheries Management Options workshops, WCPFC workshops & workshop on regional fisheries management arrangements and implications for Tuvalu, Pre Commission FFC (Ministerial), Pacific SIDS attendance at other regional RFMO – IATTC . Train Sea Coast preparations (reviewing & updated modules) delivered through USP.</p>
<p>Sub-component 2.3 Institutional Reform</p> <p>Outcome: Public sector fisheries administrations reformed, realigned and strengthened; capacities of national non-governmental organisations to participate in oceanic fisheries management enhanced; consultative processes enhanced to promote a more integrated approach to fisheries management and administration that encourages coordination and participation between diverse government and non-government stakeholders.</p>	<p>Strategies, plans and proposals for the reform, realignment and strengthening of national oceanic fisheries management administrations Scoping review Nauru Fisheries and Marine Resources Authority - consultations and workshops included NGOs</p> <p>Processes for national consultation between stakeholders in oceanic fisheries management No activity to date</p>
<p>Sub-component 2.4 Compliance Strengthening</p> <p>Outcome: Realigned and strengthened national compliance programs; improved regional MCS coordination; strategies for Commission compliance programs; enhanced national compliance capacities (inspection, observation, patrol, VMS, investigation).</p>	<p>Strategies, plans and proposals for realigning and strengthening national oceanic fisheries compliance programmes MCS country workshops review the status of existing laws governing compliance and implications of WCPFC outcomes for Pacific SIDS 9th & 10th Monitoring, Control and Surveillance Working Group Meetings (MCS review, data harmonization and preparations for Pacific SIDS at TCC) Development of IPOA for the prevention of IUU (Cook Islands)</p> <p>Arrangements for regional coordination of monitoring, control and surveillance activities Planning and coordination of regional operations and data harmonization and integration tests of display tools. Niue Treaty implementation and development of subsidiary agreements, including the promoting the concept of multilateral surveillance cooperation agreements as opposed to bilateral. Development of E-operations</p> <p>Strategies and proposals for regional compliance measures and programmes MCS Working Group Meetings and preparations for TCC2 and WCPFC3 IUU Prosecutions workshop – review cases, legislation and experience for prosecuting IUU cases. Development of a regional MCS Strategy</p> <p>Training of national compliance staff, especially in inspection and VMS In-country training (PNG & Vanuatu) – legal aspects, Dockside Boarding, Inspection and Prosecutions, FAO Port State measures, MCS attachments</p>

Outcome 3: Coordination, Participation and Information Services: a) Effective project management at the national & regional level. b) Major governmental & non-governmental stakeholders participating in project activities & consultative mechanisms at national & regional levels. c) Information on the project & the WCPF process contributing to increased awareness of oceanic fishery resource & ecosystem management. d) Project evaluations reflecting successful & sustainable project objectives.	
Sub-component 3.1 Project information System Outcome: Enhancement of awareness about the Project and understanding of its objectives and progress; establishment of a Clearing House for lessons and best practices within the Pacific SIDS, as well as through linkages to other global fisheries and their issues; capture of up-to-date information and advice on related ecosystem management and innovative fisheries management approaches; transfer of lessons and replication of best practices through an active mechanism linked to the Commission; active participation with IW:LEARN	Project Information System for capture, storage and dissemination of project data, lessons and best practices, and provision of information products Project identifies designed and in use Interaction with GEF IWLEARN – IWLEARN Experience Note, communication on website management WCPF Convention publication
	Knowledge management process identifying innovative, best practice and replicable ideas within the Project and relevant to the Project Draft Knowledge Management Strategy prepared
Sub-component 3.2 Monitoring and Evaluation Outcome: Effective monitoring and evaluation of progress and performance, including monitoring of process, stress reduction and environmental status indicators; monitoring and evaluation outputs used in project management and in assessing the effectiveness of Commission measures.	Measures of, and reports on, overall project performance and delivery, including independent evaluations of the Project Quarterly reporting (Q3 & 4 2006 and Q1 & 2 2007), GEF IW Results Framework and PIR/APR (also serves as annual report to RSC) Completed audit
	Analysis of process, stress-reduction, and environmental status indicators as per the GEF International Waters Operational Strategy GEF IW Results Framework Gaps in baseline information addressed with the exception of some information required on national indicators.
Sub-component 3.3 Stakeholder Participation and Awareness Raising Outcome: Non-governmental stakeholder participation in national and regional oceanic	ENGO participation and awareness raising in Convention-related processes LOA (and co-financing agreement) with WWF Pacific concluded. Successful workshop for regional ENGOs and civil society on WCPF Convention and oceanic fisheries management. WWF participation at regional MOW sessions and WCPFC and subsidiary bodies meetings
	Support industry participation and awareness raising in Convention-related processes

<p>fisheries management processes, including the Commission, enhanced; awareness of oceanic fisheries management issues and the WCPF Convention improved. Specific forums developed for NGO participation and discussion process; promotion of awareness of national and regional development and economic priorities and how these relate to sustainable fisheries management.</p>	<p>INGO participation at MOW, WCPFC3, inaugural meeting of PITIA (presentations on WCPFC) PITIA participation at WCPFC meetings</p>
<p>Sub-component 3.4 Project Management and Coordination</p> <p>Outcome: Project effectively managed and coordinated between implementing and executing agencies and other participants in the Project; effective participation in Project management and coordination by stakeholders; reports on Project progress and performance flowing between Project participants and being used to manage the Project.</p>	<p>Project Coordination Unit staffing and office Completed</p>

Rating of Project Implementation

	2006 Rating	2007 Rating	Comments
National Project Manager/Coordinator	S	S	Project implementation, the roll out of activities supporting the overall project objectives continues to professionally executed by the FFA and SPC. A significant activity that will not be implemented in accordance with the approved work plan, is the work in relation to research activities on benthic communities of seamounts. This sub-component of Ecosystems Analysis is to be performed by IUCN and circumstances beyond their control have hampered implementation. Communication with IUCN is on-going on the matter. These events will be taken into account in revised work plans and budgets that will reviewed by the Regional Steering Committee.
Government GEF OFP (optional)			
UNDP Country Office	X	X	Project implementation is satisfactory. Discussions continue on the approach to sea mount component analysis and a possibility of 'non ship-based research' activities as well other options of supporting SPC seamount research. The precise timing of this activity is not critical to current project results, and hence there is flexibility of accommodating the activity within the PIOFPM goals and objectives. Other project based activities has been implemented in accordance with its work plans. As the work of the WCPF convention picks up momentum, the project provides every possible opportunity within its framework to assist Pacific SIDS meet their obligations. It is highly recommended that the PCU continues strong communications on the implementation as well as developing national capacity for undertaking institutional and policy reforms, with partners to attract national/regional support for OFM initiatives.
UNDP Regional Technical Advisor	X	X	X

Action Plan to Address Marginally Unsatisfactory, Unsatisfactory or Highly Unsatisfactory Rating

Where a project has received a rating of MU, U or HU describe the actions to be taken to address this:

Action to be Taken	By Whom?	By When?

IV. Risks

1. Please annex to this report a print out of the corresponding Atlas Risk Tab (please use landscape format and only print the frame).

Atlas Finance

Home | Worklist | Add to Favorites | Sign out

Award Overview | **Risks** | Issues | Monitoring

Unit: FJ10

Award ID: 00041230 PIMS 2992 IW FSP: Pacific Islands Ocean Fisheries Mngt

Institution: 01224 National Execution **Institution Type:** Gov Counterpart Institution

Award PI: 263462 RAVUVU,ASENACA V **Sponsor:** 10003 Global Environment Fund Trustee

Risk Type	Date Identified	Risk Description	Risk Management	Critical
ENVIRONMENTAL	01/12/2006	Exceeding catch and effort levels for bigeye, yellow fin tuna and other fisheries beyond its sustainable limits as produced by WCPF Commission highlights that Pacific SIDS lack necessary awareness and commitment to take the hard decisions involved in I	View Details	<input type="checkbox"/>
FINANCIAL	01/12/2006	Under spending of GEF resources by PCU as per annual allocations. This may reflect weak delivery of project activities.	View Details	<input type="checkbox"/>
OPERATIONAL	19/09/2006	Capacity to implement activities at national level	View Details	<input type="checkbox"/>
	01/12/2006	Commission becoming ineffective during the project lifetime (which in turn may affect the sustainability of the project).	View Details	<input type="checkbox"/>
	30/07/2007	Implementation and progress of sea mount analysis component further delayed by IUCN	View Details	<input type="checkbox"/>
ORGANIZATIONAL		No Record		
POLITICAL		No Record		
REGULATORY		No Record		
SECURITY		No Record		
STRATEGIC		No Record		
X_OTHER	01/12/2006	Difficult policy decisions on management of the fisheries and difficult management proposals for the ecosystems may be proposed as per scientific findings and statistical evidence of the commission.	View Details	<input type="checkbox"/>

2. For any risks identified as “critical” please copy the following information from Atlas:

Risk Type	Date Identified	Risk Description	Risk Management Response

V. Adjustments to Project Strategy

Please report any adjustments made to the project strategy, as reflected in the logical framework matrix, since the Project Document signature:

Change Made to:	Yes/No	Reason for Change
Project Objective	No	
Project Outcomes	No	
Project Outputs/ Activities / Inputs	Yes	Benthic survey on seamounts postponed due to factors beyond the control of IUCN. Further funding is required to undertake the original work plan as a result of non-event of vessel charter. Intentions to secure additional co-financing and review survey schedule are being pursued by IUCN.

Adjustments to Project Time Frame

If the duration of the project, the project work schedule, or the timing of any key events such as project start up, evaluations or closing date, have been adjusted since project approval please explain the changes and the reasons for these changes.

Change	Reason for Change
N/A	N/A

VI. Financial Information

Please present all financial values in US\$ millions to 2 decimal places only (e.g. \$3,502,000 should be written as \$3.50m)

Name of Partner or Contributor (including the Private Sector)	Nature of Contributor ⁴	Amount used in Project Preparation (PDF A, B)	Amount committed in Project Document ⁵	Additional amounts committed after Project Document finalization ¹¹	Estimated Total Disbursement to 30 June 2007	Expected Total Disbursement by end of project
GEF Contribution	GEF	\$0.69 m	\$10.94 m	\$Nil	\$4.00 m	
Cash Cofinancing – UNDP Managed						
UNDP (TRAC)	UN Agency					
<i>(add rows as necessary)</i>						
Cash Cofinancing – Partner Managed						
<i>NZAID</i>			\$0.40 m	\$0.40 m		
<i>PNG PFA</i>				\$0.10 m		
<i>Fr Pacific Fund</i>				\$0.06 m		
<i>ACIAR</i>				\$0.30 m		
<i>Uni of Hawaii</i>				\$0.10 m		
<i>WWF Pacific</i>				\$0.10 m		
Under Consideration						
<i>EC</i>				\$1.90 m		
<i>US dept of State (OESI)</i>				\$0.20 m		
<i>Japan (JFT)</i>				\$2.00 m		
<i>PITIA</i>				\$0.55 m		
In-Kind Cofinancing						
<i>Participating Governments</i>						

⁴ Specify if: UN Agency, other Multilateral, Bilateral Donor, Regional Development Bank (RDB), National Government, Local Government, NGO, Private Sector, Other.

⁵ Committed amounts are those shown in the approved Project Document. These may be zero in the case of new leveraged project partners.

<i>(In cash and kind):</i>			\$17.28 m			
<i>Regional Organisation (In cash and Kind):</i>			\$14.46 m			
<i>NGOs (In cash and Kind):</i>			\$0.61 m			
<i>NGOs (In cash and Kind):</i>			\$0.40 m			
<i>Other WCPFC members (Commission Contributions):</i>			\$6.49 m			
Other Estimates Co-Financing						
Fishing States (In King regulation costs):			\$32.25 m			
Surveillance Partners (In Kind):			\$7.20 m			
Total Cofinancing			\$79.09			
Total for Project		\$0.69	\$90.03	\$5.71		

Comments

Please explain any significant changes in project financing since Project Document signature, or differences between the anticipated and actual rates of disbursement:

Anticipated and actual rates of disbursement are relatively aligned.

VII. Additional Financial Instruments used in the Project

This section only needs to be completed if the project provides funds to any Financial Instruments such as: Trust Funds, Sinking Funds, Revolving Funds, Partial Credit Risk Guarantees, Microfinance services, Leasing or Insurance mechanisms.

If this project does not use any Additional Financial Instruments skip this and go to Section VIII.

Financial Instrument	Financial Institution Responsible for Management	Basis for Selection of Financial Institution
N/A	N/A	N/A

For Each Financial Instrument please complete the following two tables:

Name of Financial Instrument:

Source of Funds (add rows for each source)	Funds Committed in Project Document	Amount Disbursed to Date	Issues or Comments
GEF	N/A	N/A	N/A

Rating of Performance of Financial Instrument

	2006 Rating	2007 Rating	Comments
National Project Manager/Coordinator	N/A	N/A	N/A
Government GEF OFP			
UNDP Country Office			
UNDP Regional Technical Advisor			
Overall Rating			

Action Plan to Address Marginally Unsatisfactory, Unsatisfactory or Highly Unsatisfactory Rating

Where a project has received a rating of MU, U or HU describe the actions to be taken to address this:

Action to be Taken	By Whom?	By When?
N/A		

End of Project Situation

What is to happen to any funds remaining in the Financial Instrument at the end of the project?

N/A

VIII. Lessons

Are there any lessons from this project that could benefit the design and implementation of other GEF-funded projects? Please list up to three and indicate which one/s could be worth developing into case studies of good/bad practice.

i) In the design phase of the full project, a strategic decision to recruit regional fisheries experts to work along side international experts to consult with stakeholders proved to be exceptionally beneficial in the final design of the project document. Notably, in designing the project emphasis is directed not only to the regional aspects of project assistance but a clear direction to address national level interventions to address the root causes and threats to international waters in the region, specifically deficiencies in management relating to governance and lack of understanding. A well executed terminal review of the first phase with clear recommendations also provided noteworthy guidance in the formation of the full Oceanic Fisheries Management project for the Pacific region.

ii) The Pacific region has a long history of regional cooperation on oceanic fisheries management matters and this is supported by the evolution of regional organizations whose technical and management competence have worked for the benefit of the small island developing States in this area. In the case of the Pacific, these recognized and established mechanisms serve positively for addressing transboundary international waters concerns, particularly for migratory resources.

iii) A set of guidelines detailing the processes, including timeframes, involved from project concept to the official start date of projects might have prevented the delayed roll out of the PI OFM Project. While some delays by their nature of needing scheduled committee type approval are unavoidable, others concerning communication, preparation work and roles of responsibility could have reasonably been avoided with clear guidelines for all organizations involved. In the course of addressing the accessibility of GEF assistance to the Pacific region any advice provided should be inclusive of clear process guidelines with timeframes.

IX. Project Contribution to GEF Strategic Targets in International Waters

The International Waters Results Template is designed to be cumulative and updated on an annual basis (using a new color each year). Based on the results from the FY 07 reporting year, please update last year's results template using **red color font** to highlight new and revised sections.

SEE ATTACHED TABLE ONE AND THE 2007 GEF INTERNATIONAL WATERS ANNUAL PERFORMANCE RESULTS (GEF RESULTS FRAMEWORK)

Note: The PI OFM Project 2006 UNDP GEF APR/PIR for International Waters reported this section (IX) as a narrative. In a separate report format the OFM Project completed the "*GEF International Waters Annual Project Performance Report* (GEF IW Results Framework)" which reported against Process outcomes and indicators, Stress reduction Outcomes and Environmental & Socioeconomic Status Outcomes as well as linkages & support by the project for achievement of the MDGS and project support to the WSSD Plan of Implementation. The following table provides updated results against Process, Stress reduction and Environmental indicators, is linked to and should be read in conjunction with Section II (Progress towards achieving project objectives) of this report.

Table 1

Outcomes as Specified in GEF IW PPR	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
PROCESS INDICATORS				
Effective national inter-ministry coordination	Existence of inter-ministry coordination mechanisms. Nos. of meetings/contacts of inter-Ministry coordination	Establish by baseline study	Improvements in most PACSIDS	Contacts at the national between relevant national government institutions dealing with fisheries management issues have been enhanced particularly on the WCPFC issues relating to compliance of the Conservation and Management Measures
Stakeholder involvement in SAP implementation	PacSIDS involvement in WCPFC, SC & TCC meetings NGO involvement in Project activities	Not applicable Phase I terminal evaluation noted lack of NGO involvement as a major weakness in Phase I	High level of participation by PacSIDS in WCPFC (100%), SC & TCC meetings (80%) ENGOs & INGOs involved in Project execution	Effective participation of the FFA members at the Northern Group and Eastern Group WCPFC Sub regional workshops in preparation for the SC3, TCC3 and the WCPFC4. The Western Group WCPFC sub regional workshop is scheduled to be in first week of September 2007.
Newly established and/or strengthened transboundary waters institutions The WCPFC established & beginning to function effectively;	See Outcome 2 a) in Section A above			Outcome 2 a) Level of first 3 elements only
Adoption of national & regional legal, policy & institutional reforms that address priority transboundary concerns The WCPF Convention being implemented	Status of WCPFC Convention	Convention entered into force in June 2004, with 12 of the 13 Convention ratifications to bring the Convention into force from	All major coastal & fishing states party to the Convention	WCPFC Convention ratified for 33 of 34 States & Territories participating in WCPFC process. This includes all major coastal &

Outcomes as Specified in GEF IW PPR	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
National laws, policies, institutions & programmes relating to management of transboundary oceanic fisheries reformed, realigned & strengthened to implement the WCPF Convention & other applicable global & regional instruments		PACSIDIS , following PDF-B support. 21 Members or participating territories at June 2005		fishing states except Indonesia (Depends on US ratifying as announced by June)
	See Outcome 2 c) in Section A above			See Outcome 2 c) in Section A above
Financial sustainability of joint transboundary waters institutions	WCPFC Financial Regulations & Budgets.	WCPFC adopted Financial Regulations & schedule of financial contributions at its First Session in December 2004, based largely upon the principle of "those who fish should pay" (70% of contributions based on catches with discount for developing countries)	Satisfactory level of payment of CCM financial contributions WCPFC core programmes not blocked by lack of funding	Satisfactory payment of membership contributions by FFA members and all other CCMs and by donor agencies Effective delivery of service and reports by the WCPFC Secretariat in regards to SC3 and TCC3 and meeting targets for its core programmes
Other Process Indicators Improved quality, compatibility & availability of scientific information & knowledge on the oceanic transboundary fish stocks & related ecosystem aspects of the WTP warm pool LME, with a particular focus on the ecology of seamounts in relation to pelagic fisheries, & the fishing impacts upon them.	See Outcome 1 a) in Section A			See Outcome 1 a) in Section A
STRESS REDUCTION OUTCOMES				
Information on the WTP Warm Pool fish stocks & LME being used by the WCPFC to assess &	See Outcome 1 b) of Section A			See Outcome 1 b) of Section A

Outcomes as Specified in GEF IW PPR	Description of Indicator:	Baseline Level	Target (by June 2010 unless otherwise indicated)	Level at June 2007
adopt conservation & management measures for transboundary fish stocks & the LME.				
The WCPFC established & beginning to function effectively	See Governance objective, 2 nd element			See Governance objective, 2 nd element
ENVIRONMENTAL & SOCIOECONOMIC STATUS OUTCOMES ⁶				
Improved information & knowledge on the oceanic transboundary fish stocks & related ecosystem aspects of the WTP warm pool LME being used by the WCPFC & Pacific SIDS to adopt & apply measures to enhance the conservation & management of transboundary oceanic fishery resources & protection of the biodiversity of the WTP LME	<p>Status of target stocks</p> <p>Impacts of fishing on non-target species</p> <p>Broader ecosystem impacts</p> <p>Improvements in the contribution to PACSIDS sustainable development from improved management of transboundary oceanic fishery resources & from the conservation of oceanic marine biodiversity generally.</p>		<p>Target stocks within limits agreed by the WCPFC</p> <p>Significant reductions in mortality from fishing on non-target species</p> <p>Positive results for broader ecosystem indicators (yet to be identified)</p> <p>Sustainable gains in PACSIDS benefits including jobs, access fees, exports etc</p>	<p>Limits yet to be agreed</p> <p>High priority being given to improving data on mortality. Impacts not yet measurable</p> <p>Proposal for monitoring ecosystem indicators presented. Impacts not yet measurable</p> <p>First report on economic indicators presented to FFC. Impacts not yet measurable</p>

⁶ Since the Project is largely aimed at Process Outcomes, focused on the establishment & functioning of the WCPFC, it will take time before impacts can be measured at the environmental & socioeconomic status level, & they may not be measurable by the planned end of project at 2010. Project activity at this level is currently focused on establishing baseline data & reference points. Price data can indicate that limits being applied to fishing are increasing the socioeconomic value of stocks in a way that should be measurable within the term of the Project.

ATTACHMENT A

Pacific Islands Oceanic Fisheries Management Project Beneficiary Country Endorsements, Confirmations and Signatures on the Project Document (as at July 2007)

GEF Operational Points (at November 2004)	Dates of Endorsement/ Confirmation	Project Document Signatures ⁷
Cook Islands Mr Vaitoti Tupa, Director, Environment Service	Endorsed: 13 October 2003 Confirmed: 24 December 2004	
Federated States of Micronesia Mr John Mooteb, Deputy Assistant Secretary Sustainable Development Unit	Endorsed: 6 November 2003 Confirmed: 29 December 2004	
Fiji Mr Cama Tuiloma, Chief Executive Officer, Ministry of Local Government, Housing, Squatter Settlement & Environment	Endorsed: 1 March 2004 Confirmed 1 February 2005	Endorsed: 29 August 2005
Kiribati Mr Tererei Abete-Reema, Deputy Director, Environment and Conservation Division	Endorsed: 28 November 2003	
Republic of Marshall Islands Ms Yumiko Crisostomo, Director, Office of Environmental Planning and Policy Coordination	Endorsed: 16 September 2003 Confirmed 4 February 2005	
Nauru Mr Joseph Cairn, The Secretary, Department of Industry & Economic Development	Endorsed: 20 October 2003 Confirmed 14 December 2004	
Niue Mr Crossley Tatui, Deputy Secretary, Ministry of External Affairs Office	Endorsed: 9 February 2004 Confirmed: 24 December 2004	Endorsed: 27 July 2005
Palau Ms Youlsau Bells, National Environment Planner, Office of Environmental and Response Coordination	Endorsed: 22 October 2003 Confirmed: 17 December 2004	
Papua New Guinea Mr Wari Iamo, Director, Department of Environment and Conservation	Endorsed: 19 February 2004 Confirmed 2 February 2005	Endorsed: 10 August 2005
Samoa Mr Aiono Mose Pouvi Sua Chief Executive Officer, Ministry of Foreign Affairs and Trade	Endorsed: 17 October 2003 Confirmed: 23 December 2004	
Solomon Islands Mr Steve Likaveke, Permanent Secretary, Ministry of Forests, Environment & Conservation	Endorsed: 11 October 2003 Confirmed: 20 December 2004	
Tonga Mr Uilou Samani, Director, Department of Environment	Endorsed: 26 January 2004 Confirmed: 3 January 2005	
Tokelau Mr Falani Aukuso, Director, Office of the Council of Faipule	Endorsed: 27 February 2004 Confirmed: 13 December 2004	Endorsed: 18 July 2007

⁷ Status – UNDP Suva.

GEF Operational Points (at November 2004)	Dates of Endorsement/ Confirmation	Project Document Signatures ⁷
Tuvalu Mr Nelesone Panapasi, Secretary to Government, Office of the Prime Minister	Endorsed: 7 November 2003 Confirmed 1 February 2005	Endorsed: August 2005 (Mr. En GEF Focal Point)
Vanuatu Mr Ernest Bani, The Head, Environment Unit	Endorsed: 17 March 2004	Endorsed: 24 August 2005
Other Project Document Signatures		
<i>Implementing Agency</i> United Nations Development Programme		
Suva Mr. Hans de Graff Deputy Resident Representative		Endorsed: 30 September 2005
Papua New Guinea Ms. Jacqui Badcock Resident Representative		Endorsed: 4 August 2005
<i>Executing Agency</i> Pacific Islands Forum Fisheries Agency Mr. Feleti.P.Teo Director General		Endorsed: 13 July 2005